

Why trot when you can gallop?

8 questions to ask broker-dealers
when considering independence.



PROSPERA
FINANCIAL SERVICES

Why the broker-dealer partner you choose matters.

Deciding to become an independent business owner doesn't mean you will be left out on an island somewhere. In fact, a dedicated transition team should work closely with you from day 1 to help plan your transition, transfer your existing assets, and get your new practice up and running. Once your practice opens, your broker-dealer should provide the back-office and product support you need so that you can focus on what really matters- servicing your clients and growing your business. As a result, you are likely to build a close, long-term relationship within your broker-dealer, marked by strategic discussions about your business.

Prospera believes that there are 8 key areas to consider when you are thinking about going independent for the first time. After more than 30 years serving financial advisors, we've found these to be critical measures of the quality and value of a broker-dealer.

As you conduct your research, feel free to keep this guide handy to keep track of relevant information to use in your search.

Let's get started...



Taking the next step

Somewhere between the career you have and the one you desire is opportunity. For 30 years, Prospera has helped advisors put their dream on the fast track and ride it to a new plateau. We offer the hands-on expertise of a boutique service-driven culture paired with the resources of the 2nd largest broker-dealer in the country. If you see a better career on the horizon, we'd like to talk with you. Every person at Prospera is personally committed to helping our advisors serve their clients, run their businesses and grow their businesses.

How can Prospera help you?

Call 877-737-5429 or visit www.joinprospera.com for more information.

If you would like more information on Prospera, please contact us at

877-737-5429

or email us at marketing@prosperafinancial.com